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PTO/SB/01 (12-97)

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Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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<b>DECLARATION FOR UTILITY OR DESIGN PATENT APPLICATION</b> (37 CFR 1.63)	Attorney Docket Number <b>T00,015</b>	
	First Named Inventor <b>Bratescu, Daniela T</b>	
	<b>COMPLETE IF KNOWN</b>	
	Application Number	
	Filing Date	<b>September 15, 2000</b>
	Group Art Unit	
<input checked="" type="checkbox"/> Declaration Submitted with Initial Filing      OR <input type="checkbox"/> Declaration Submitted after Initial Filing (surcharge (37 CFR 1.16 (e)) required)		
Examiner Name		

As a below named inventor, I hereby declare that:

My residence, post office address, and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

**Antimicrobial Ternary Surfactant Blend Comprising Cationic, Anionic, and Bridging Surfactants, and Methods of Preparing Same**

the specification of which (Title of the Invention)

☒ is attached hereto  
OR  
☐ was filed on (MM/DD/YYYY)  as United States Application Number or PCT International Application Number  and was amended on (MM/DD/YYYY)  (if applicable).

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment specifically referred to above.

I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR 1.56.

I hereby claim foreign priority benefits under 35 U.S.C. 119(a)-(d) or 356(b) of any foreign application(s) for patent or inventor's certificate, or 356(a) of any PCT International application which designated at least one country other than the United States of America, listed below and have also identified below, by checking the box, any foreign application for patent or inventor's certificate, or of any PCT International application having a filing date before that of the application on which priority is claimed.

Prior Foreign Application Number(s)	Country	Foreign Filing Date (MM/DD/YYYY)	Priority Not Claimed	Certified Copy Attached?	
			YES	NO	
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

☐ Additional foreign application numbers are listed on a supplemental priority data sheet PTO/SB/02B attached hereto:

I hereby claim the benefit under 35 U.S.C. 119(e) of any United States provisional application(s) listed below.

Application Number(s)	Filing Date (MM/DD/YYYY)

☐ Additional provisional application numbers are listed on a supplemental priority data sheet PTO/SB/02B attached hereto.

**Burden Hour Statement:** This form is estimated to take 0.4 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. **DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.**

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## DECLARATION — Utility or Design Patent Application

I hereby claim the benefit under 35 U.S.C. 120 of any United States application(s), or 365(c) of any PCT international application designating the United States of America, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT international application in the manner provided by the first paragraph of 35 U.S.C. 112, I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR 1.56 which became available between the filing date of the prior application and the national or PCT international filing date of this application.

U.S. Parent Application or PCT Parent Number	Parent Filing Date (MM/DD/YYYY)	Parent Patent Number (if applicable)

☐ Additional U.S. or PCT international application numbers are listed on a supplemental priority data sheet PTO/SB/02C attached hereto.

As a named inventor, I hereby appoint the following registered practitioner(s) to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith:

☐ Customer Number

OR

☒ Registered practitioner(s) name/registration number listed below

Place Customer Number Bar Code Label here

Name	Registration Number	Name	Registration Number
Paul S. Tully	44,377		

☐ Additional registered practitioner(s) named on supplemental Registered Practitioner Information sheet PTO/SB/02C attached hereto.

Direct all correspondence to: ☐ Customer Number or Bar Code Label ☒ Correspondence address below

Name	Paul S. Tully, Intellectual Property Department				
Address	Stepan Company				
Address	22 W. Frontage Road				
City	Northfield	State	IL	ZIP	60093
Country	USA	Telephone	847-501-2219	Fax	847-446-9359

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under 18 U.S.C. 1001 and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Name of Sole or First Inventor: ☐ A petition has been filed for this unsigned inventor

Given Name (first and middle (if any))	Family Name or Surname
Daniela T	Bratescu

Inventor's Signature	<i>Daniela T. Bratescu</i>			Date	9/14/00
Residence: City	Glenview	State	IL	Country	US
				Citizenship	Romania
Post Office Address	1927 West Central Road				
Post Office Address					
City	Glenview	State	IL	ZIP	60025
				Country	USA

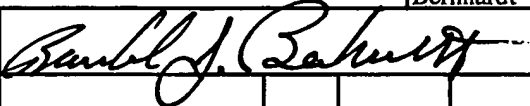
☒ Additional inventors are being named on the 2 supplemental Additional Inventor(s) sheet(s) PTO/SB/02A attached hereto

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<b>DECLARATION</b>	<b>ADDITIONAL INVENTOR(S)</b> <b>Supplemental Sheet</b> Page <u>1</u> of <u>2</u>
--------------------	---

<b>Name of Additional Joint Inventor, if any:</b>				<input type="checkbox"/> A petition has been filed for this unsigned inventor			
Given Name (first and middle [if any])				Family Name or Surname			
Randal J.				Bernhardt			
Inventor's Signature					Date		9/14/00
Residence: City		Lindenshurst	State	IL	Country	US	Citizenship
Post Office Address 39771 North Wittenburg Drive							
Post Office Address							
City		Lindenhurst	State	IL	ZIP	60002	Country
<b>Name of Additional Joint Inventor, if any:</b>				<input type="checkbox"/> A petition has been filed for this unsigned inventor			
Given Name (first and middle [if any])				Family Name or Surname			
Inventor's Signature					Date		
Residence: City			State		Country		Citizenship
Post Office Address							
Post Office Address							
City			State		ZIP		Country
<b>Name of Additional Joint Inventor, if any:</b>				<input type="checkbox"/> A petition has been filed for this unsigned inventor			
Given Name (first and middle [if any])				Family Name or Surname			
Inventor's Signature					Date		
Residence: City			State		Country		Citizenship
Post Office Address							
Post Office Address							
City			State		ZIP		Country

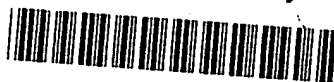
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101477005

To the Honorable Commissioner of Patents and Trademarks

original documents or copy thereof.

1. Name of conveying party(ies):

Daniela Bratescu

Randal Bernhardt

9-14-00

2. Name and address of receiving party(ies):

Name: Stepan Company

Internal Address:

Street Address: Intellectual Property Department

22 W. Frontage Road

City: Northfield State: IL ZIP: 60093

Additional name(s) of conveying party(ies) attached?

☐ Yes ☐ No

Additional name(s) & address(es) attached?

☐ Yes ☒ No

3. Nature of conveyance:

☒ Assignment

☐ Merger

☐ Security Agreement

☐ Change of Name

☐ Other

Execution Date: September 15, 2000

4. Application number(s) or patent number(s):

09/661839

If this document is being filed together with a new application, the execution date of the application is: September 15, 2000

A. Patent Application No.(s)

B. Patent No.(s)

To be assigned; application filed herewith

Additional numbers attached?

☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Paul S. Tully

Internal Address: Corporate Intellectual Property Counsel

Intellectual Property Department

Stepan Company

Street Address: 22 W. Frontage Road

City: Northfield State: IL ZIP: 60093

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41) ..... \$ 40.00

☐ Enclosed

☒ Authorized to be charged to deposit account

8. Deposit account number:

500904

(Attach duplicate copy of this page if paying by deposit account)

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Paul S. Tully

Name of Person Signing

Reg. No. 44,377

Signature

Date

9/15/2000

Total number of pages including cover sheet, attachments, and document: 3

Mail documents to be recorded with required cover sheet information to:  
Commissioner of Patents & Trademarks, Box Assignments  
Washington, D.C. 20231

ATTORNEY DOCKET  
(15071US02)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In the Application of: Bratescu, *et al.*

Serial No. To Be Assigned

Filed: October 2, 2003

For: "Antimicrobial Ternary Surfactant  
Blend Comprising Cationic, Anionic,  
and Bridging Surfactants, and  
Methods of Preparing Same"

CERTIFICATE OF MAILING

I hereby certify that this correspondence is  
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(Continuation), Commissioner of Patents, P.O.  
Box 1450, Alexandria VA, 22313-1450 on  
October 2, 2003.

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By:   
Troy A. Groetken

Reg. No. 46,442

Mail Stop Patent Application (Continuation)  
Commissioner of Patents  
P.O. Box 1450  
Alexandria VA, 22313-1450

POWER OF ATTORNEY BY ASSIGNEE OF ENTIRE INTEREST

As assignee of record of the entire interest of the above identified:

☒ Application

☐ Patent

the undersigned hereby appoints all of the practitioners associated with the Customer  
Number provided below to prosecute this application and to transact all business in the  
Patent and Trademark Office connected therewith and directs that all correspondence be  
addressed to that Customer Number:

Customer Number: 23446  
Principal Attorney or Agent: Thomas J. Wimbiscus  
Telephone Number: 312-775-8000

**Assignee of Entire Interest:**

Name: Stepan Company

Address: 22 W. Frontage Road, Northfield, Illinois 60093

**EVIDENCE AND CERTIFICATION OF CHAIN OF TITLE**

☐ Recorded in PTO on \_\_\_\_\_

Reel \_\_\_\_\_  
Frame \_\_\_\_\_

☒ Recorded herewith

**ASSIGNEE CERTIFICATION**

In accordance with 37 C.F.R. §3.73 the assignee hereby certifies that the evidentiary documents with respect to its ownership have been reviewed and that, to the best of assignee's knowledge and belief, title is in the assignee seeking to take this action.

Date: October 2, 2003

Kathleen M. Owens  
Signature

Name: ~~E. Samuel Eberts III~~ Kathleen M. Owens  
Title: ~~Vice President, General Counsel~~  
Assistant General Counsel  
and Assistant Secretary